



Achieving identical glassy state through different thermal paths

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ABSTRACT The enthalpy relaxation and recovery processes of an Au-based metallic glass model were studied using high-precision nanocalorimetry. The glassy states after isothermal and isochronal annealing were compared, and it was revealed that the relaxation peaks for slightly and isochronally annealed glasses were narrower compared with slightly and isothermally annealed glasses, although they have the same enthalpy. This reveals a typical thermal history-dependent behavior. Interestingly, when the glasses were heavily annealed, the relaxation peaks of both isothermally and isochronally annealed glasses became identical, denoting no relation to thermal histories. Further relaxation kinetics studies revealed that dependence on thermal paths occurred in the β -relaxation stage, while the glassy states became identical when the α -relaxation stage was reached regardless of the thermal paths. This is attributed to the ergodic characteristic of cooperative atomic motions during the α relaxation. The difference between β and α relaxations in dependence on annealing paths is helpful for precisely controlling the glass properties.

Keywords: glassy state, thermal paths, relaxation kinetics, α relaxation, β relaxation

INTRODUCTION

Glasses are materials with disordered atomic packing structures and nonequilibrium thermodynamic characteristics. Based on potential landscape theory, glasses comprise plenty of local structures with different energy states [1–4]. Thus, obtaining two identical glasses is difficult, although the fabrication process is generally the same. Some researchers tried to eliminate the thermal history of glass by annealing it before any tests or applications [5–9]. When annealed close to the glass transition temperature (T_g), the glass reaches the supercooled liquid state [10–12], which is in thermodynamic equilibrium but still in a metastable state. This annealing process can be considered a normalization process of the glassy state. That is, all the as-prepared glasses reach the same state after being annealed at temperatures close to T_g . This normalization method is universal for annealed samples [6,13,14]. It is interesting to determine when the memory of thermal histories is erased and whether it occurs before or at the equilibrium state.

Enthalpy relaxation has a strong influence on the physical or functional properties of glasses. For instance, the enthalpy relaxation of Fe-based metallic glasses (MGs) releases residual stress and enhances soft magnetic performance [15–18]. However, relaxing to the same energy state through different annealing paths does not yield the same properties, implying that the relaxation path is as crucial as the energy state [19–24]. It needs to be found whether the same glassy state can be achieved through different annealing paths.

There are different types of relaxation kinetics during annealing, such as α relaxation and β relaxation [2,25–27]. Generally, α relaxation depends on cooperative atomic motion, while β relaxation is related to local structural rearrangements [2,25,28–31]. In the annealing treatment, when the annealing time is long enough, β relaxation gradually merges and eventually enters the α relaxation stage. During the β -relaxation stage, the soft magnetism and hardness of glass can be considerably enhanced, and during the α -relaxation stage, soft magnetic properties change slightly, but its plasticity deteriorates [32–35]. Meanwhile, two-step annealing activates the Kovacs memory effect [36], which can improve the mechanical and magnetic properties of MGs in comparison with single-step annealing treatment [37,38]. Several studies have suggested that the coupling of different relaxation kinetics or relaxation paths plays a key role in glassy state evolution [39–43]. However, these studies did not state how to obtain the identical normalized glassy state through different annealing paths, and the underlying physical mechanism is still unclear.

In this work, the enthalpy evolution of a model MG (Au₄₉-Cu_{26.9}Ag_{5.5}Pd_{2.3}Si_{16.3}, at%) [10,44–47] upon continuous cooling and isothermal annealing was studied using high-precision flash differential scanning calorimetry (DSC). The enthalpy value and shape of the relaxation peak were used to characterize the glassy state. Enthalpy represents the energy level of glass, which is a crucial parameter, and the relaxation peak shape with the same area (i.e., enthalpy value) represents the distribution of energy states, which can reflect the influence of annealing paths. The underlying mechanisms were studied and discussed.

EXPERIMENTAL SECTION

Sample preparation

Ingot with a nominal composition of Au₄₉Cu_{26.9}Ag_{5.5}Pd_{2.3}Si_{16.3}

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(at%) was prepared through the arc melting of high-purity constituent elements in a Ti-gettered high-purity argon atmosphere. The ingot was flipped and remelted five times to obtain a homogeneous master alloy. MG ribbons were prepared using a single roller melt spinner operated under a circumferential speed of 40 m s^{-1} .

Flash DSC measurements

Nanocalorimetry experiments were performed using a Mettler Toledo Flash DSC 1 with a mechanical pump refrigeration system and nitrogen purge. A sample with dimensions of about $50 \mu\text{m} \times 50 \mu\text{m} \times 20 \mu\text{m}$ was cut from an MG ribbon and then loaded onto the center of the chip sensor under an optical microscope. All experimental data in this paper were acquired from the same sample. The mass of the Flash DSC sample was estimated from the melting enthalpy. The melting enthalpy of the Flash DSC sample used in this study was about $19.45 \mu\text{J}$. The specific melting enthalpy of the melt-spun Au-based MG is 40.2 J g^{-1} [10,45]. Thus, the mass of the Flash DSC sample was determined to be $19.45 \times 10^{-6}/40.2 \text{ g} \approx 484 \text{ ng}$ ($3.82 \times 10^{-9} \text{ mol}$). To examine the relaxation paths, the sample was isothermally

annealed at 373, 379, 385, 391, and 397 K for various annealing times (t_a) and/or cooled from the initial temperature of continuous cooling ($T_s = 403 \text{ K}$) to annealing temperature (T_a) at cooling rates of $\phi = 1, 2, 3, 5, 10, 20, 30, 50,$ and 100 K s^{-1} , where all T_s and T_a were below T_g . The identical relaxation enthalpy of the sample during cooling and isothermal annealing was determined by the evolution of the enthalpy change with the cooling rate or annealing time. Four representative heating rates ($R_h = 100, 200, 500,$ and 1000 K s^{-1}) were used to estimate the activation energy of the relaxation processes.

RESULTS

Relaxation of the sample under two different annealing paths

In Fig. 1a, the sample underwent two different annealing paths, namely, continuous cooling (①) and isothermal annealing (②), to reach the same enthalpy state at temperature T_a , and the thermal protocols are shown in Fig. 1b. In the continuous cooling process, the sample was cooled from the melt at 693 K (liquidus temperature: $T_L = 645 \text{ K}$) to $T_s = 403 \text{ K}$ at a cooling rate $R_c = 6000 \text{ K s}^{-1}$, which is larger than the critical cooling rate

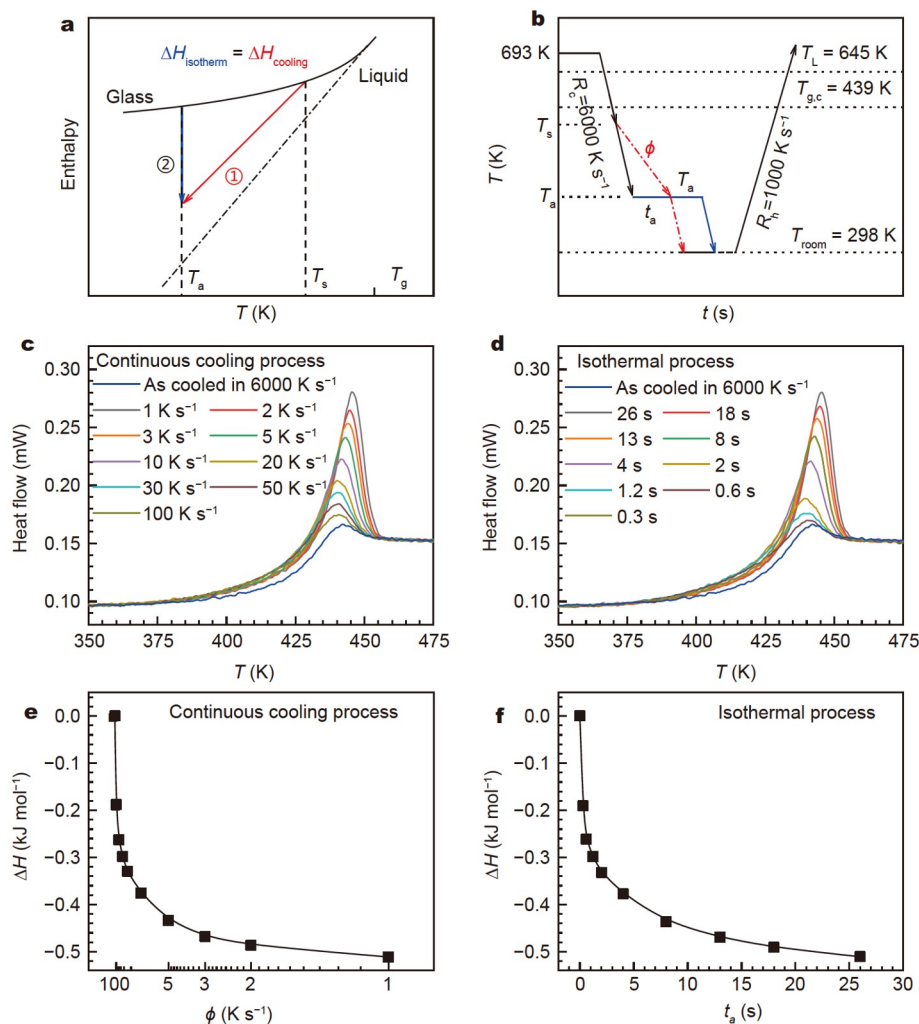


Figure 1 (a) Schematic of continuous cooling and isothermal annealing paths in the enthalpy-temperature phase diagram. (b) Thermal protocols for continuous cooling and isothermal annealing. (c) Flash DSC traces near the T_g for the samples cooled from 403 to 385 K at different rates. (d) Flash DSC traces of the sample relaxed at 385 K for various annealing times (t_a). (e) Relaxation enthalpy changes with the cooling rate (ϕ). (f) Relaxation enthalpy changes with annealing time t_a at $T_a = 385 \text{ K}$.

($\sim 1600 \text{ K s}^{-1}$), to obtain a fully glassy state [10,48,49]. The sample was then cooled from T_s to T_a at cooling rates of $\phi = 1, 2, 3, 5, 10, 20, 30, 50,$ and 100 K s^{-1} . For the isothermal annealing process, the sample was cooled from 693 K to T_a at a cooling rate of $R_c = 6000 \text{ K s}^{-1}$, and then t_a was gradually increased until the relaxation enthalpy of the isothermal process was equal to that of the continuous cooling process. Finally, the recovery process and glass transition behavior for the relaxed glasses were measured using Flash DSC at a heating rate of $R_h = 1000 \text{ K s}^{-1}$. The relaxation peak during the recovery process was obtained by subtracting the Flash DSC trace of the as-cooled glass from that of the relaxed glasses, and the relaxation enthalpy was obtained by integrating the corresponding relaxation peak.

Fig. 1c, d show the Flash DSC traces for the samples relaxed upon continuous cooling from $T_s = 403 \text{ K}$ to $T_a = 385 \text{ K}$ and relaxed upon isothermal annealing at $T_a = 385 \text{ K}$, respectively. The height of the endothermic overshoots and the peak temperature increases as the cooling rate decreases or the isothermal annealing time increases. Thus, it was revealed that continuous cooling and isothermal annealing have similar influences on relaxation behavior and enthalpy state. Fig. 1e, f illustrate the change in enthalpy *versus* the cooling rate (ϕ) of the continuous cooling process and the annealing time of the isothermal annealing process, respectively. The relaxation enthalpy (ΔH) decreases monotonically with decreasing cooling rate and increasing annealing time.

Comparison of the relaxation peaks in two annealing paths

As shown in Fig. 1a, the same enthalpy state can be reached by continuous cooling and isothermal annealing, but the same relaxation enthalpy does not represent the identical glassy state. If we compare the relaxation peaks, the glasses relaxed to the same enthalpy state through different thermal paths showing distinct shapes, as shown in Fig. 2a. The comparison of heat

flows of the glasses cooled to 385 K at six representative rates, $\phi = 100, 50, 30, 10, 5,$ and 1 K s^{-1} , corresponding to the annealing times, $t_a = 0.3, 0.6, 1.2, 4, 8,$ and 26 s , is given in Fig. 2a–f. For the fast-cooling rates, $\phi \geq 30 \text{ K s}^{-1}$, the relaxation peak of the continuously cooled samples is narrower and shows a higher peak temperature than that of the isothermally annealed samples, reflecting that the glassy states obtained by continuous cooling and isothermal annealing are not identical. With decreasing cooling rate and increasing isothermal annealing time, the relaxation peaks become gradually identical, reflecting that the influence of thermal histories disappears when the sample reaches deep-relaxed states. This indicates that the dependence of glassy states on annealing paths is different in different energy states.

To analyze the dependence of glassy states on annealing paths systematically, the full width at half maximum (FWHM) of relaxation peaks was introduced and used along with the enthalpy value to characterize the relaxation peak shapes. FWHM is a key parameter reflecting the distribution of energy states in the glass. Generally, a small FWHM of the relaxation peak means a more homogeneous structure of glass [50,51]. Fig. 3a exhibits the definition of the FWHM and the relaxation enthalpy of a relaxation peak. For all continuous cooling and isothermal annealing processes, the FWHM decreases monotonically with increasing relaxation enthalpy, as shown in Fig. 3b–f. For slightly annealed glasses with the same relaxation enthalpy, the continuously cooled sample has a relaxation peak with a smaller FWHM than the isothermally annealed sample. Along with decreasing enthalpy, the FWHM values of the relaxation peaks from the samples with different thermal paths become identical.

Activation energy of relaxations

The underlying mechanisms behind the differences of relaxation

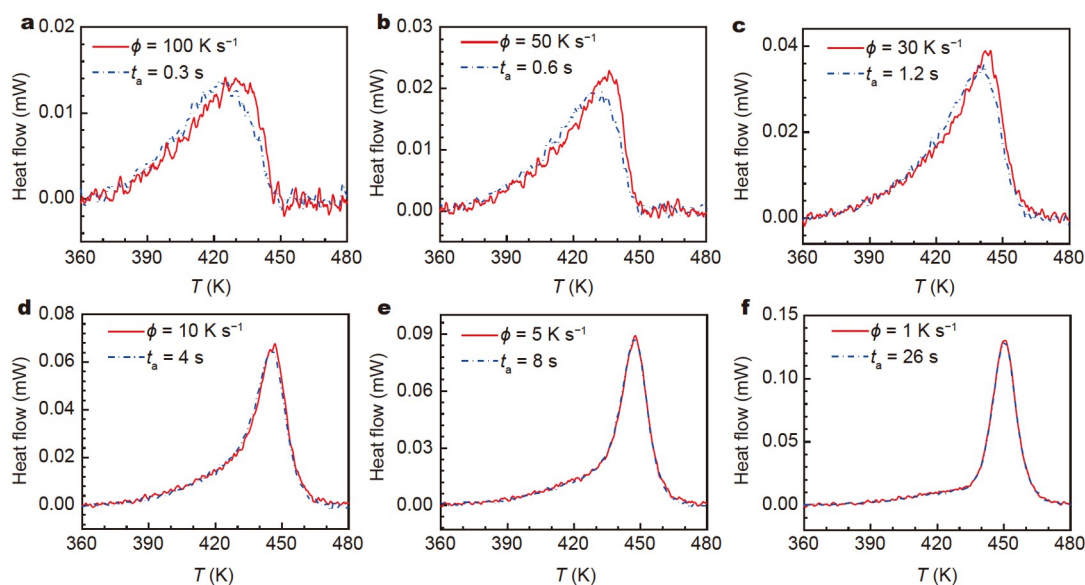


Figure 2 (a) Heat flow peaks of the sample isothermally annealed at $T_a = 385 \text{ K}$ for $t_a = 0.3 \text{ s}$ (blue) and cooled from 403 to 385 K at $\phi = 100 \text{ K s}^{-1}$ (red). (b) Heat flow peaks of the sample isothermally annealed at $T_a = 385 \text{ K}$ for $t_a = 0.6 \text{ s}$ (blue) and cooled from 403 to 385 K at $\phi = 50 \text{ K s}^{-1}$ (red). (c) Heat flow peaks of the sample isothermally annealed at $T_a = 385 \text{ K}$ for $t_a = 1.2 \text{ s}$ (blue) and cooled from 403 to 385 K at $\phi = 30 \text{ K s}^{-1}$ (red). (d) Heat flow peaks of the sample isothermally annealed at $T_a = 385 \text{ K}$ for $t_a = 4 \text{ s}$ (blue) and cooled from 403 to 385 K at $\phi = 10 \text{ K s}^{-1}$ (red). (e) Heat flow peaks of the sample isothermally annealed at $T_a = 385 \text{ K}$ for $t_a = 8 \text{ s}$ (blue) and cooled from 403 to 385 K at $\phi = 5 \text{ K s}^{-1}$ (red). (f) Heat flow peaks of the sample isothermally annealed at $T_a = 385 \text{ K}$ for $t_a = 26 \text{ s}$ (blue) and cooled from 403 to 385 K at $\phi = 1 \text{ K s}^{-1}$ (red).

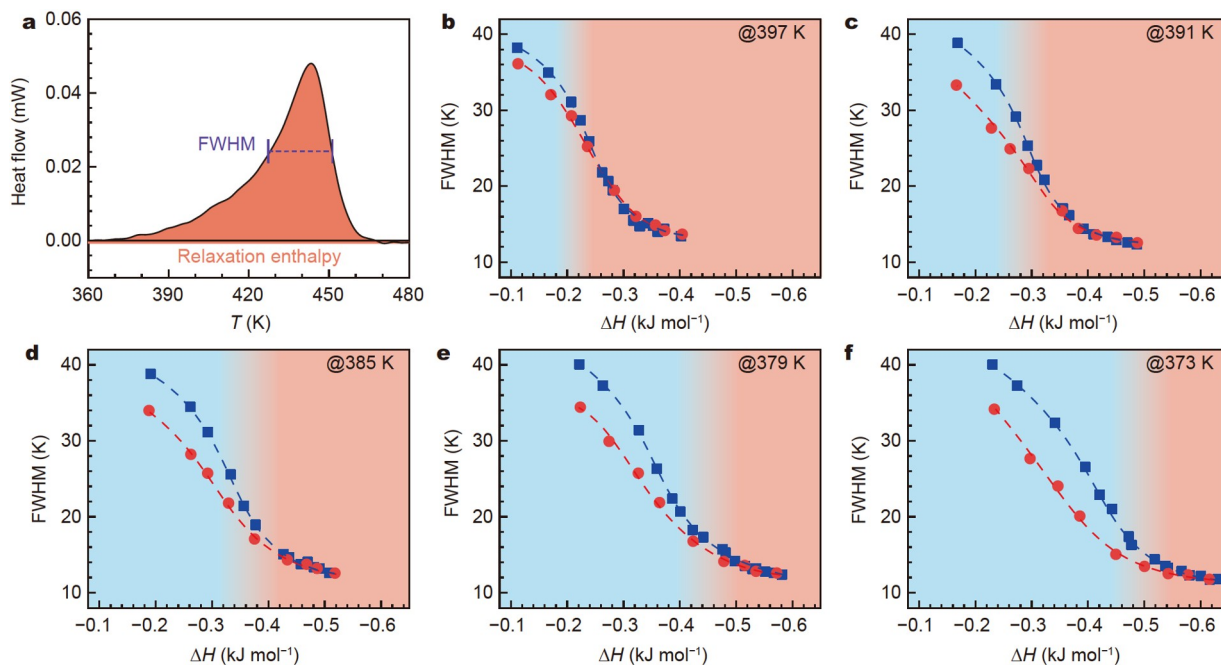


Figure 3 (a) FWHM and the area of the relaxation peak (relaxation enthalpy, ΔH) are used to represent the glassy state. (b–f) Evolution of FWHM with ΔH of isothermal and continuous cooling annealed samples. The red solid circle represents the sample annealed by the continuous cooling process, and the blue square represents the sample annealed by the isothermal process. The dashed lines are plotted as a guide. The background color illustrates the transition from distinct glassy (blue) to identical glassy states (red) through two annealing paths.

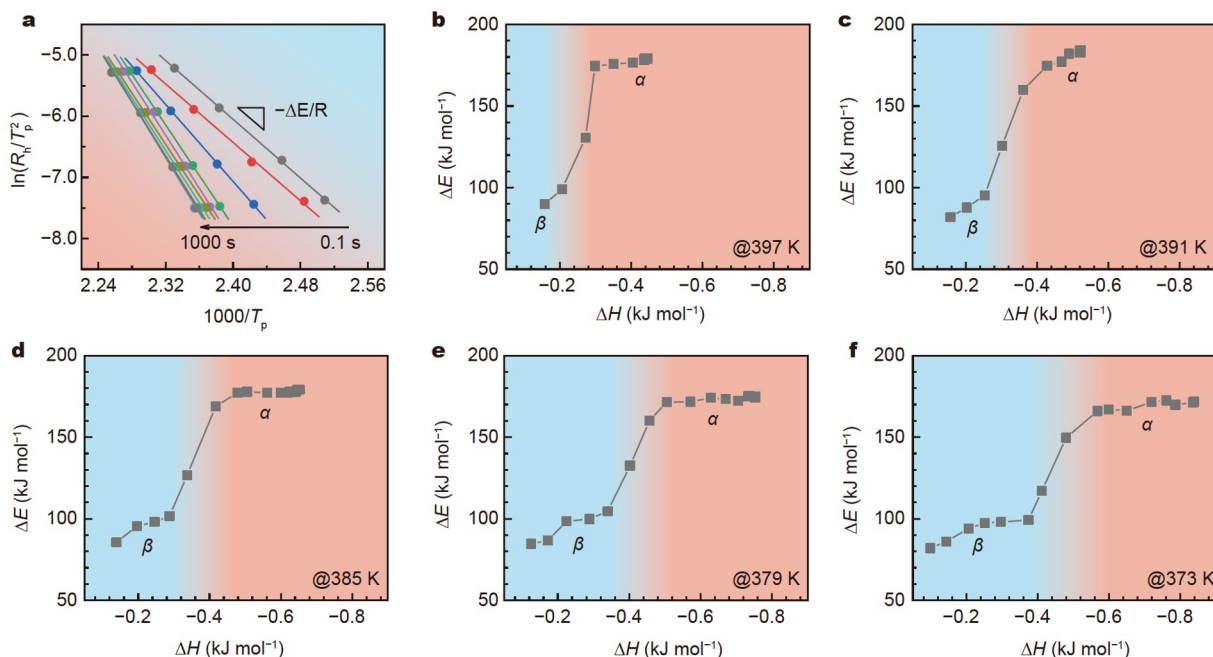


Figure 4 (a) Kissinger plots of the relaxation peak temperatures (T_p) for samples annealed at $T_a = 397$ K for different annealing times $t_a = 0.1$ – 1000 s. The relaxation activation energy (ΔE) is determined by the slope. (b–f) Activation energy (ΔE) versus relaxation enthalpy (ΔH). The background color is adopted from Fig. 3 to illustrate the transition from distinct glassy (blue) to identical glassy states (red) through two annealing paths.

peaks were investigated by studying the relaxation kinetics of different relaxed glasses using the Kissinger method ($[\partial \ln(\phi/T_p^2)]/[\partial(1/T_p)] = -\Delta E/R$, where R and ΔE are the gas constant and activation energy, respectively). Fig. 4a shows the heating rate dependence of relaxation peak temperatures for samples annealed at $T_a = 397$ K for various times (t_a). Linear fitting by the Kissinger plot gives the activation energy of the

relaxed glass. The relaxation activation energy increases from 80–100 to ~ 170 kJ mol^{-1} with decreasing relaxation enthalpy. At the initial relaxation stage, ΔE is consistent with the activation energy of β relaxation, that is, $\Delta E_\beta \approx 26RT_g$ ($T_g = 401$ K at 20 K min^{-1}). For deep-relaxed samples, the estimated ΔE coincides with that of α relaxation, as shown in Fig. 4b–f [9,44,52,53]. It is interesting to note that, compared with the data from Fig. 3,

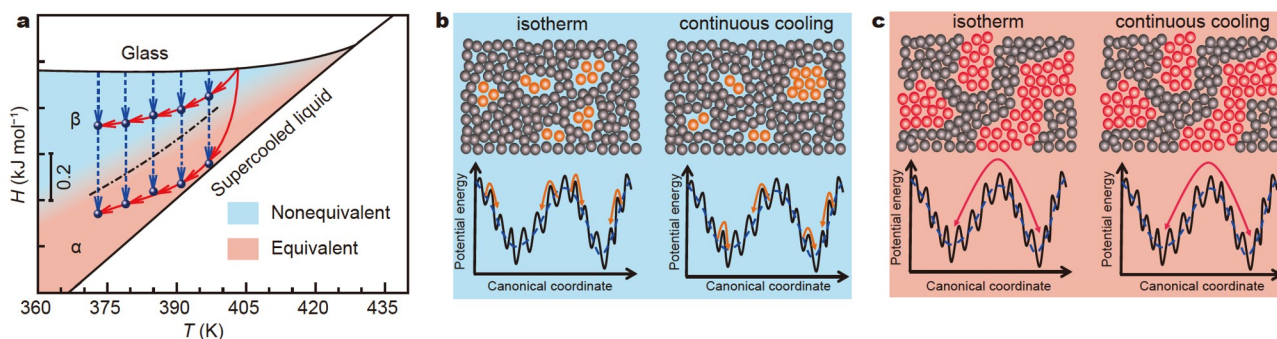


Figure 5 (a) Schematic map of enthalpy changes during glass annealing. The black dash-dotted line indicates the boundary between equivalent and nonequivalent peaks. (b, c) Evolution of atomic motions and the corresponding energy landscape. Each corresponding energy landscape is located below its atomic motions. The orange atoms represent the local β relaxation region, while the red atoms represent the collective α relaxation region.

the glassy states yielded by different annealing paths merged when the relaxation kinetics turned into the α relaxation stage. Moreover, it should be noted that the relaxation modes defined by Flash DSC are consistent with that measured by dynamic mechanical analysis (see Fig. S1) and in previous studies [46,54,55].

DISCUSSION

To understand the underlying mechanism for the thermal history-dependent behavior, the above difference between β relaxation and α relaxation is illustrated in an enthalpy-temperature diagram. Fig. 5a illustrates the trajectories for the continuous cooling and isothermal annealing paths. The blue-shaded area, which corresponds to the β relaxation region, represents the glassy state that is sensitive to annealing paths. The red-shaded area, which corresponds to the α relaxation region, represents the glassy states that are independent of annealing paths. For instance, when the sample is cooled from 403 K to $T_a = 397, 391, 385, 379,$ and 373 K at a high cooling rate of $\phi = 100 \text{ K s}^{-1}$, the enthalpy relaxation kinetics remains in β relaxation, and the relaxation peaks are distinct from those from the isothermally annealed samples. In contrast, when the sample is cooled slowly at 1 K s^{-1} , the enthalpy relaxation kinetics is in α relaxation, and the relaxation peaks are identical to those from the isothermally annealed samples. Thus, it is revealed that the glassy states in the β -relaxation region are dependent on thermal histories, while the glassy states in the α -relaxation region are independent of thermal histories. In the energy landscape view, this should be related to ergodicity during the energy evolution. The collective α relaxation travels over a big barrier that is ergodic to erase its historical state [10,56–60], while the local β relaxation can only overcome a small barrier that is nonergodic and dependent on thermal histories. In terms of the energy landscape, the continuous cooling process triggers different local structures from high to low temperatures due to temperature-dependent atomic motions [61]. The larger activation energy at higher temperatures triggers collective atomic motions and leads to a narrower FWHM, denoting a more homogenous structure, as illustrated in Fig. 5b. However, the α relaxation with the percolation of atomic motions activates ergodic motions, as shown in Fig. 5c. Furthermore, the same test was applied to $(\text{La}_{0.5}\text{Ce}_{0.5})_{65}\text{Al}_{10}\text{Cu}_{25}$ MG (see Fig. S2) and was observed to be highly consistent with that of the Au-based MG.

The far-from-equilibrium nature of glasses provides a chance to modulate their properties by changing their enthalpy state.

Intriguingly, this work demonstrates that although the enthalpy state of glasses is the same, the distribution of microstates may vary due to different thermal histories, particularly for the lightly relaxed glasses. The FWHM is related to microstate distribution. It is expected that the relaxation peaks in heat flows provide a simple and reasonable signal to understand relaxation behaviors not only from thermodynamic and kinetic viewpoints but also from structural information.

CONCLUSIONS

The relaxation behaviors of MGs during continuous cooling and isothermal annealing were studied systemically in the enthalpy space. Glasses in the β -relaxation stage have a thermal history memory characterized by nonequivalent heat flow peaks, while α relaxation stage has an identical glassy state that is independent of thermal paths. The findings extend heat flow to the structural evolution of glassy states and enrich the meaning of relaxation peaks besides the thermodynamic and kinetic information. These results could facilitate the precise control of glass properties and the design of advanced properties.

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Supplementary information Supporting data are available in the online version of the paper.



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通过不同退火路径获得相同的玻璃态

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摘要 本文以金基金属玻璃为模型, 使用高精度闪速差示扫描量热法研究了等温退火和连续降温退火对焓弛豫和回复过程的影响. 发现即使玻璃的能量(焓)一样, 两种退火路径获得的玻璃的状态也可能不同. 对于短时间等温退火或快速连续降温退火得到的高能量玻璃, 两种退火路径获得的玻璃状态明显不同: 连续降温退火得到的玻璃的弛豫峰更窄, 而等温退火得到的玻璃弛豫峰更宽. 但是, 对于长时间等温退火或慢速连续降温退火得到的低能量玻璃, 两种退火路径获得的玻璃状态趋于相同, 即弛豫峰完全重合. 弛豫动力学结果表明, 高能量玻璃处在 β 弛豫阶段, 具有热历史依赖性. 而低能量玻璃处在 α 弛豫阶段, 玻璃状态与热历史无关, 这主要是因为 α 弛豫具有较强的协同性, 具有各态遍历特征, 使结构均一化. 这些发现对精准调控玻璃性质具有重要意义.