

## *In situ* formation of solid electrolyte interphase for improved cyclability of electrochromic tungsten oxide thin films

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### ABSTRACT

Tungsten oxide (WO<sub>3</sub>) is highly regarded as one of the most promising working electrodes for electrochromic devices due to its large optical modulation, however, it suffers from degradation at the WO<sub>3</sub>/electrolyte interface. Herein, we developed an ALD-Al<sub>2</sub>O<sub>3</sub>/Li-based electrolyte hybrid layer as organic/inorganic solid electrolyte interphase (SEI) to improve the cyclic stability of the WO<sub>3</sub> thin films. *In situ* formation of SEI has been confirmed by an analysis of components (such as Li<sub>2</sub>CO<sub>3</sub>, Li<sub>2</sub>O, and PC) of the electrochromic WO<sub>3</sub> thin films with the ALD-Al<sub>2</sub>O<sub>3</sub> interface layer after electrochemically cyclic treatment. As for comparative analysis of microstructural and electrochemical properties of the electrochromic WO<sub>3</sub> thin films with and without the ALD-Al<sub>2</sub>O<sub>3</sub> interface layer, we emphasize that the SEI, introduced by the ALD-Al<sub>2</sub>O<sub>3</sub> interface layer and produced by electrochemical cycling, embodies its optimality properties. With the introduction of SEI, the cyclic stability of the WO<sub>3</sub> thin film can be significantly enhanced, allowing for a stable transmittance modulation (1500 cycles with 94 % retention). This work offers a new strategy to improve the cyclic stability of WO<sub>3</sub> thin films and enlightens the design on stable interface for electrochromic electrodes.

### 1. Introduction

Electrochromic devices (ECDs) are of interest because of their low power consumption and high transmittance contrast. ECDs have been extensively investigated for many potential applications such as skylights, rear-view mirrors, and electrochromic smart windows for buildings [1–4]. Typical ECDs consist of five layers: an electrochromic (EC) layer, an ion-conducting layer, an ion-storage layer, and transparent electrodes on both sides [5,6]. EC layer materials can be divided into cathodic and anodic coloration materials. Among cathodic electrochromic materials, tungsten oxide (WO<sub>3</sub>) is considered one of the most promising candidates for commercialization owing to its large transmittance modulation and high coloration efficiency. Presently, the lithium perchlorate (LiClO<sub>4</sub>)-propylene carbonate (C<sub>4</sub>H<sub>6</sub>O<sub>3</sub>, PC) electrolyte is the most commonly used liquid electrolyte for WO<sub>3</sub>-based ECDs [7,8]. However, during the electrochemical cycling process, undesired side reactions between WO<sub>3</sub> electrode and electrolyte

continuously occur, with the accumulation of the interfacial degradation, resulting in decreased cyclic stability and rate capability [9–11]. In fact, the development of the WO<sub>3</sub> EC layer with long-term cyclic stability has become a challenge that must be surmounted for the commercial viability of WO<sub>3</sub>-based ECDs.

Actually, intensive previous investigations have been dedicated to improving the properties of the EC layer, such as depositing a silicon nitride (Si<sub>3</sub>N<sub>4</sub>) thin film onto the WO<sub>3</sub> thin film by pulsed DC reactive magnetron sputtering [12]. The Si<sub>3</sub>N<sub>4</sub> film is used as the electron-blocking layer to reduce the leakage current of the EC, and the Si<sub>3</sub>N<sub>4</sub> thin film can prevent contact between WO<sub>3</sub> and water, thereby improving the cycling durability. The interface between EC materials and electrolytes has been shown to be crucial in determining the electrochemical and EC properties [13]. Very recently, the strategy of adding a protective layer (such as Ta<sub>2</sub>O<sub>5</sub> [14], SnO<sub>2</sub> [15], Nafion-film [16]) onto the electrochromic film has emerged as a viable solution. Unfortunately, the underlying variations of the protective layer during cycling

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have not been entirely understood yet, only as a means of interface modification largely unexplored. Remarkably, in the field of Li-ion batteries (LIBs), extensive research has already been conducted on electrode protective layers, known as the artificial solid electrolyte interphase (SEI) layer.

SEI layers are considered the most crucial yet least understood phenomena in batteries. SEI is generated to fully passivate the electrode/electrolyte interface after the irreversible decomposition of unstable ingredients, including solvents, anions, and additives upon initial charging and discharging processes of LIBs, this passivation layer exhibits characteristics of a solid electrolyte and acts as an electronic insulator while serving as an excellent conductor for Li-ions [17,18]. An ideal interface is expected to meet several requirements, including chemical/electrochemical stability with electronic insularity, mechanical robustness and stable ion pathways [19]. In light of the flaws of the natural interface, which is the interface *in situ* formed by solvent decomposition, researchers have pursued various strategies to obtain ideal interfaces with targeted properties, such as optimizing the electrolyte formulation and designing artificial protective films. Empirical evidence demonstrates that a  $\sim 9$ -Å-thick  $\text{Al}_2\text{O}_3$  interface layer deposited by atomic layer deposition (ALD) effectively inhibits the formation of the unstable interface and survives the rigors of electrochemical cycling for at least 100 cycles, significantly improving the cycling stability of the MnO electrode [20]. Introducing ALD oxide interface layer as artificial SEI on Li-ion battery electrodes has become popular because of its unique advantages in high conformality and controllable thickness at the angstrom level. For instance, a  $\sim 2$ -nm-thick ALD- $\text{Al}_2\text{O}_3$  layer could prevent side reactions during electrochemical and stabilize the structure of the silicon column, the silicon electrode with the ALD- $\text{Al}_2\text{O}_3$  layer shows high coulombic efficiency and good cycling performance [21]. The attachment of the  $\text{MoO}_3$  particles to the conductive additives is improved by using the ALD- $\text{Al}_2\text{O}_3$  layer which reduces the detachment of particles during the volume changes [22,23]. However, with regards to electrochromism, to the best of our knowledge, no research has been conducted on the interfacial modification of  $\text{WO}_3$  thin film by ALD- $\text{Al}_2\text{O}_3$  interface layer, and the understanding of the  $\text{Al}_2\text{O}_3$ -involved SEI formation is very limited.

In this work, an ALD- $\text{Al}_2\text{O}_3$ /Li-based electrolyte hybrid layer as organic/inorganic SEI is proposed to improve the cyclic stability of the  $\text{WO}_3$  thin films, as shown in schematic Diagram 1. The SEI has been demonstrated to be very effective in improving the stability of the electrochromism by comparative analysis of microstructural and electrochemical properties of the electrochromic  $\text{WO}_3$  thin films with and without the ALD- $\text{Al}_2\text{O}_3$  interface.

## 2. Experimental

### 2.1. Preparation of thin films

The  $\text{WO}_3$  thin films were deposited on the ITO-coated glass at a substrate temperature of 200 °C by electron beam evaporation technique (MUE-ECO made in ULVAC, Japan). The deposition processes occurred in the vacuum of  $4 \times 10^{-3}$  Pa. Several pure  $\text{WO}_3$  particles with the diameter of  $\sim 3$  mm in a tungsten crucible were bombarded by an electron beam of 10 kV. The deposition rate and thickness of the film were controlled by a quartz-crystal film-thickness monitor at 0.15 nm/s and 300 nm, respectively.

ALD coating of  $\text{Al}_2\text{O}_3$  was performed in a commercial thermal type ALD reactor equipment (TALD-150D, Kemicro) with 6-inch reaction chamber. Trimethylaluminum (TMA) and  $\text{H}_2\text{O}$  were used as reaction precursors. TMA with purity of 99.9 % made by AimouYuan (Nanjing, China) and  $\text{H}_2\text{O}$  were evaporated at 150 °C.  $\text{N}_2$  (7 sccm) was served as both the carrier and purging gas for the precursor vapor. The deposition was directly conducted on the as fabricated  $\text{WO}_3$ /ITO-coated glass, the glasses were placed in the center of the cavity and maintained at 150 °C during the deposition process. 0.025 s and 0.015 s pulses were used for

TMA and  $\text{H}_2\text{O}$  precursors with a 20 s  $\text{N}_2$  purge between precursors. Using 10 cycles of ALD  $\text{Al}_2\text{O}_3$ , the deposited  $\text{Al}_2\text{O}_3$  thickness is estimated to be approximately 1 nm, as determined by ellipsometry. The  $\text{WO}_3$  thin film with ALD- $\text{Al}_2\text{O}_3$  thickness of 1 nm was selected as the optimized one, since it showed better electrical, electrochemical, and electrochromic performance, the results and discussion are described in the supplementary information S1.

### 2.2. Characterization

The structure of the  $\text{WO}_3$  thin films was analyzed by X-ray diffraction (XRD, Bruker D8 Advance with  $\text{Cu K}\alpha$  radiation ( $\lambda=0.154178$  nm) and a theta-2theta configuration). The surface chemistry of the  $\text{WO}_3$  thin film was performed by X-ray photoelectron spectra (XPS, Axis Ultra DLD), using  $\text{Al K}\alpha$  (1486.6 eV) radiation as an X-ray source with a voltage of 15 kV and a power of 120 W at a pressure of  $\sim 5 \times 10^{-9}$  Torr. The XPS core level spectra were fitted using the Liner and Shirley type background. The microstructures and elemental analysis of the  $\text{WO}_3$  films with the ALD- $\text{Al}_2\text{O}_3$  layer were examined by high-resolution transmission electron microscopy (HRTEM, Tecnai F20, FEI) and scanning electron microscope (SEM, Verios G4 UC, Thermo Scientific). *In situ* transmittance spectra were achieved via visible spectroscopy (723 PC, Jinghua, Shanghai) and electrochemical workstation (CHI660D, Chenhua, Shanghai) with a traditional three-electrode cell. A platinum sheet and KCl saturated  $\text{Hg}/\text{HgCl}_2$  were used as counter electrode and reference electrode, respectively. The galvanostatic charge-discharge (GCD) and cyclic voltammetry (CV) measurements were carried out by applying a corresponding current or voltage. The electrochemical impedance spectra (EIS) were measured on an electrochemical workstation (IM6, Zennium) in the frequency range from 100 mHz to 100 kHz.

## 3. Results and discussion

### 3.1. Electrochromic performance of the thin films

Fig. 1a and b depicts the *in situ* visible transmittance spectra (at  $\lambda_{633\text{nm}}$ ) of the  $\text{WO}_3$  thin films with and without the ALD- $\text{Al}_2\text{O}_3$  interface layer under  $-1.0/1.0$  V voltage for 35 s/40 s, respectively. The maximum transmittance modulation ( $\Delta T$ ) of the  $\text{WO}_3$  thin film without the ALD- $\text{Al}_2\text{O}_3$  interface layer is measured to be 90 % at the first cycle. Fig. 2a reveals a gradual decrease in the optical modulation ( $\Delta T \approx 70$  %, 75,000 s, 1000th cycle) with increasing cycle number for the  $\text{WO}_3$  thin film without the ALD- $\text{Al}_2\text{O}_3$  interface layer, ultimately leading to a failure of electrochromic performance after 1464 cycles (112105s). In contrast, the cyclic stability of transmittance modulation ( $>80$  %) of the  $\text{WO}_3$  thin film with the ALD- $\text{Al}_2\text{O}_3$  interface layer is expected to remain steady after 1500 cycles (112500s), as shown in Fig. 1b. The  $\Delta T$  of the  $\text{WO}_3$  thin film with the ALD- $\text{Al}_2\text{O}_3$  interface layer drops from 85 % for the first cycle to  $> 80$  % for the 1500th cycle. A comprehensive comparison of the cycle number, the running time, and the amount of retention after cycling between the  $\text{WO}_3$  thin film with the ALD- $\text{Al}_2\text{O}_3$  interface layer and other reported works are presented in Table S2. The obvious superiorities of this work are demonstrated with 94 % transmittance modulation retention after 1500 cycles and 104 % current density retention after 3000 cycles (Fig. S5). Moreover, Fig. S6 shows that the optical modulation of the  $\text{WO}_3$  thin film with the ALD- $\text{Al}_2\text{O}_3$  interface layer is well-maintained, with a  $\Delta T$  of more than 70 % even after the 3000th cycle (225000s). Besides, there is some evidence to suggest that the  $\Delta T$  of the  $\text{WO}_3$  thin film without the ALD- $\text{Al}_2\text{O}_3$  interface layer is higher than that of the  $\text{WO}_3$  thin film with the ALD- $\text{Al}_2\text{O}_3$  interface layer at the first cycle in the *ex situ* transmittance spectra (Fig. S7). This distinction is further exemplified in studies using the coloring/bleaching response times (the time required to achieve a 90 % change in transmittance between the colored and bleached states [24]).

Fig. 1c and f show the coloring/bleaching time of the  $\text{WO}_3$  thin film without and with the ALD- $\text{Al}_2\text{O}_3$  layer at the first electrochemical cycle.

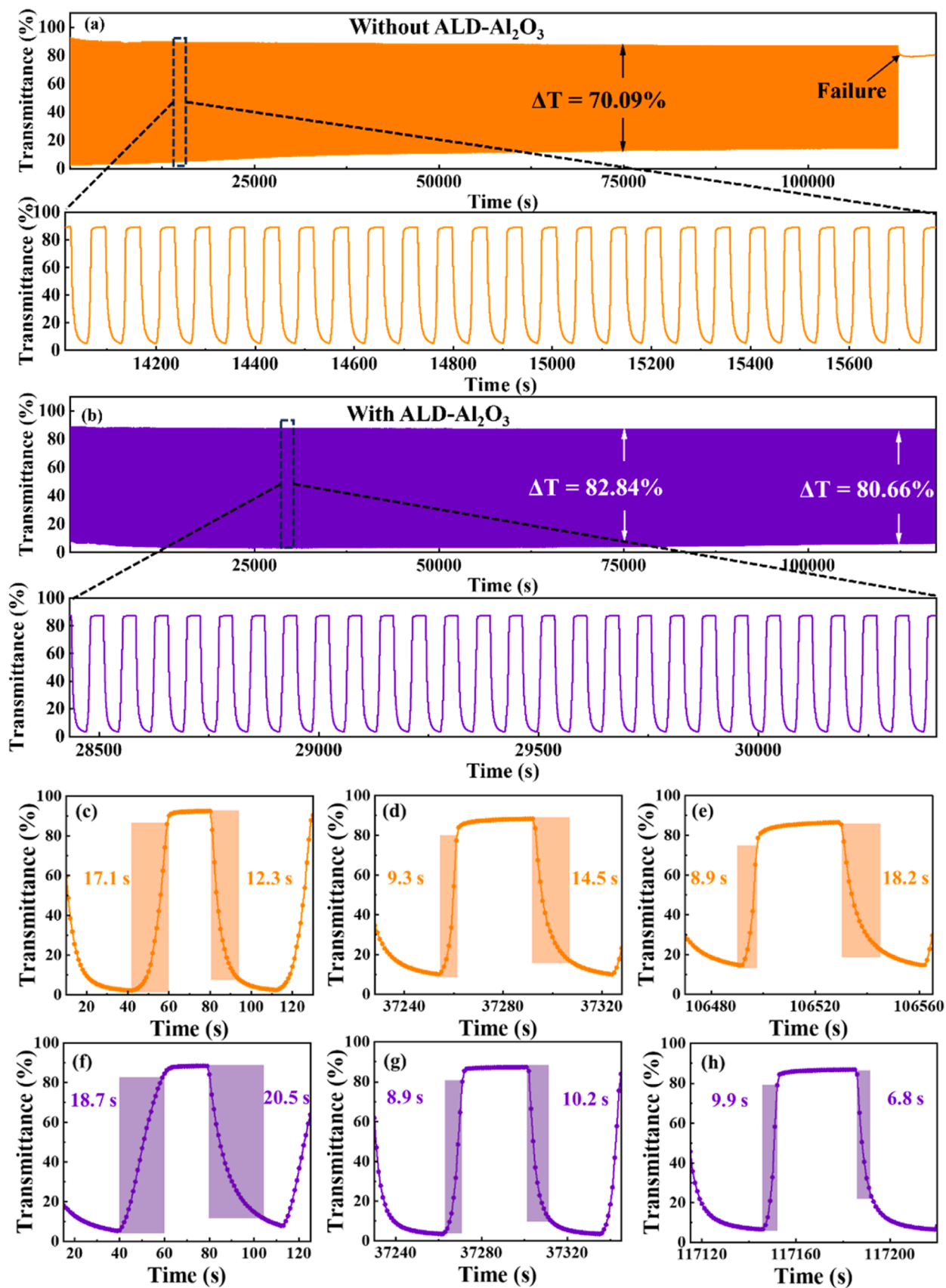


Fig. 1. *In situ* visible transmittance spectra at  $\lambda_{633}$  nm of the  $\text{WO}_3$  thin film (a) without and (b) with the ALD- $\text{Al}_2\text{O}_3$  interface layer. The coloring/bleaching response times of the  $\text{WO}_3$  thin film (c–e) without and with (f–h) the ALD- $\text{Al}_2\text{O}_3$  interface layer.

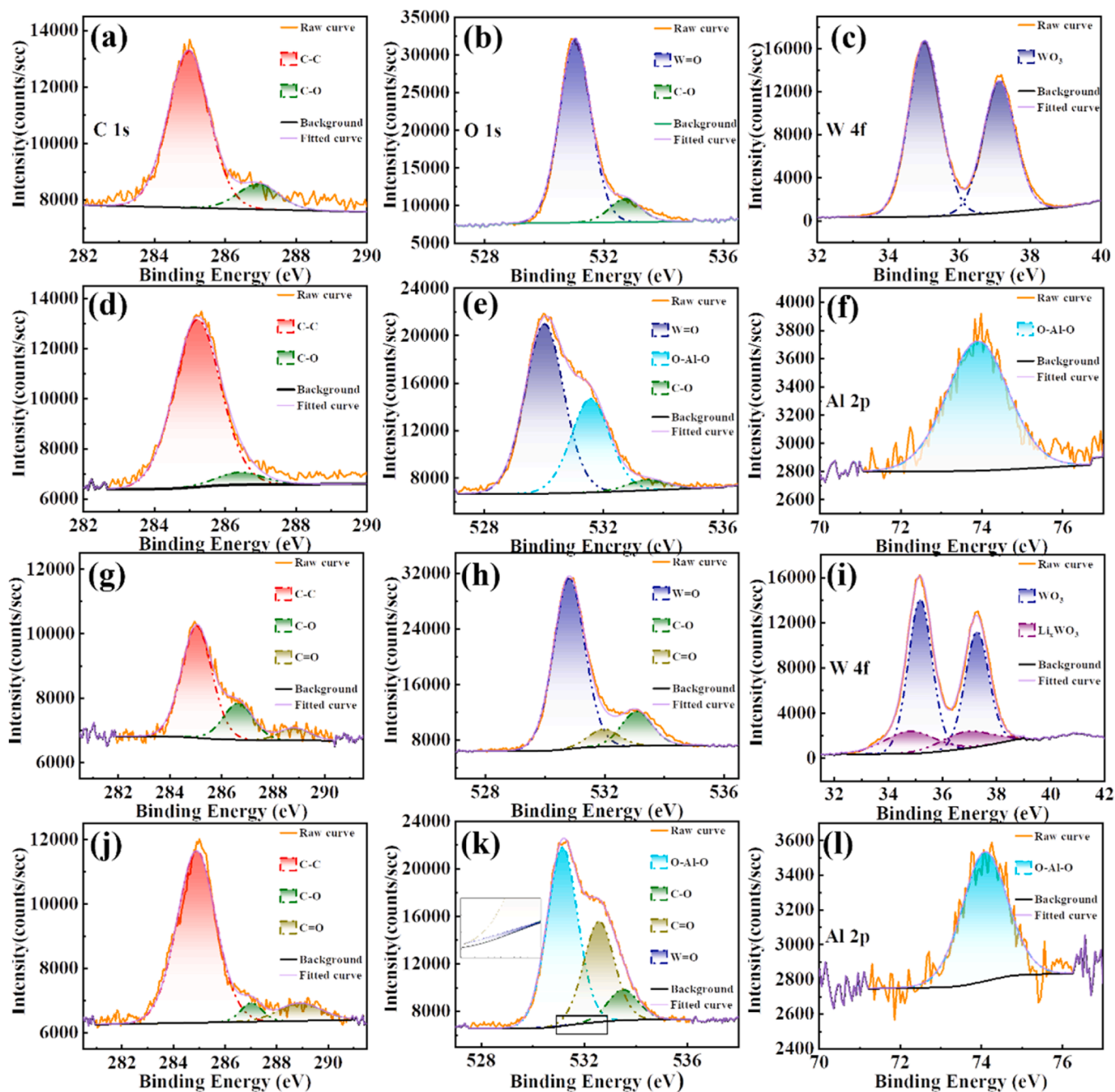


Fig. 2. XPS spectra of the as-deposited  $\text{WO}_3$  thin film (a–c) without and (d–f) with the ALD- $\text{Al}_2\text{O}_3$  interface layer. XPS spectra of the  $\text{WO}_3$  thin film (g–i) without and with (j–l) the ALD- $\text{Al}_2\text{O}_3$  interface layer after 50 electrochemical cycles.

The  $\text{WO}_3$  thin film with the ALD- $\text{Al}_2\text{O}_3$  interface layer shows longer response times (20.5 s/18.7 s) than the  $\text{WO}_3$  thin film without the ALD- $\text{Al}_2\text{O}_3$  interface layer (12.3 s/17.1 s). This can be attributed to the pinhole-free ALD- $\text{Al}_2\text{O}_3$  layer blocking the diffusion of lithium ions and the penetration during the initial cycling process, consistent with that in the previous report [25]. What is interesting in Fig. 1g and 1h is rapid decrease of the  $\text{WO}_3$  thin film with the ALD- $\text{Al}_2\text{O}_3$  interface layer in the response times as the number of cycles increases. This can be explained by the transformation of the  $\text{Al}_2\text{O}_3$  layer into Li-Al-O during cycling. Li-Al-O is an ionic conductor that facilitates the transport of Li ions [22, 23]. As shown in Fig. 1d and 1e, the coloring/bleaching time of the  $\text{WO}_3$  thin film without the ALD- $\text{Al}_2\text{O}_3$  layer is changed from 14.5 s/9.3 s to 18.2 s/ 8.9 s, indicating a degradation of its rate performance. Conversely, the response times of the  $\text{WO}_3$  thin film with the ALD- $\text{Al}_2\text{O}_3$

interface layer tend to be more stable and faster. It seems possible that the remarkably optical improvement of cyclic stability can be attributed to improved cyclability of the interface between electrochromic tungsten oxide electrodes and the electrolyte.

### 3.2. XPS of the thin films

The XPS results of the  $\text{WO}_3$  thin films without and with the ALD- $\text{Al}_2\text{O}_3$  interface layer in the as-deposited state and after 50 electrochemical cycles are shown in Fig. 2. The C 1s spectra of the  $\text{WO}_3$  thin films without and with the ALD- $\text{Al}_2\text{O}_3$  interface layer after cycling has been partitioned a peak at 288.8 eV characteristic of the C = O present in  $\text{CO}_3$ , which is attributed to  $\text{Li}_2\text{CO}_3$ , and lithium alkyl carbonates produced during cycling [10,26]. In addition, the C 1s spectra can be fitted

into two peaks characteristic of C—C (285 eV) and C—O (286.9 eV), as shown in Fig. 2a, d, g, and j. The O 1 s spectra of the as-deposited thin films are shown in Fig. 2b and e. A peak centered at 531.5 eV is observed for the WO<sub>3</sub> thin film with the ALD-Al<sub>2</sub>O<sub>3</sub> interface layer, matching the Al 2p spectra for the as-deposited WO<sub>3</sub> film with Al<sub>2</sub>O<sub>3</sub> interface layer (Fig. 2f). As the WO<sub>3</sub> thin films without and with the ALD-Al<sub>2</sub>O<sub>3</sub> interface layer is cycled with 50 electrochemical cycles, the C—O and C = O peaks appear, and the W = O peak is barely visible for the WO<sub>3</sub> thin film with ALD-Al<sub>2</sub>O<sub>3</sub> interface layer, as shown in Fig. 2h and k. Table 1 presents the O 1 s XPS results of the WO<sub>3</sub> thin films without and with the ALD-Al<sub>2</sub>O<sub>3</sub> interface layer in the as-deposited state and after 50 electrochemical cycles. The peak area of W = O in the XPS spectra of the WO<sub>3</sub> thin film with the ALD-Al<sub>2</sub>O<sub>3</sub> interface layer is almost negligible (0.57 %) after cycling. The increase (74.9 %) in the W = O peak area of XPS spectra accounts of the WO<sub>3</sub> thin film from without to with the ALD-Al<sub>2</sub>O<sub>3</sub> interface layer after cycling suggests the effectiveness of the Al<sub>2</sub>O<sub>3</sub> interface layer in alleviating the interfacial degeneration by the potential irreversible reaction between electrolyte and WO<sub>3</sub> electrode. Moreover, the C = O peak area in the XPS spectra of the WO<sub>3</sub> thin film with the ALD-Al<sub>2</sub>O<sub>3</sub> interface layer is notably higher than that of the WO<sub>3</sub> thin film without the ALD-Al<sub>2</sub>O<sub>3</sub> interface layer after cycling. This observation may be attributed to the space for side-reaction products formation is constrained by the surrounding ALD-Al<sub>2</sub>O<sub>3</sub> coating, allowing for quicker interface densification and *in situ* formation of organic/inorganic hybrid solid electrolyte interphase on the WO<sub>3</sub> thin film during the electrochemical cycling. The Al<sub>2</sub>O<sub>3</sub>-induced SEI preventing the electron transfer process, thereby reducing the reduction reaction of the electrolyte and increasing the organic component of the SEI layer [20,27]. Fig. 2c shows the W 4f XPS spectra of the as-deposited WO<sub>3</sub> thin films with Al<sub>2</sub>O<sub>3</sub> interface layer that contain two peaks correspond to W 4f<sub>7/2</sub> and W 4f<sub>5/2</sub> spin-orbit split peaks, respectively [28]. The spectra of the cycled samples are fitted into four peaks, indicating the presence of W<sup>6+</sup> (bleached) and W<sup>5+</sup> (colored) valence states (Fig. 2i) after cycling [29,30].

### 3.3. TEM of the thin films

The microstructures of the WO<sub>3</sub> thin film with the ALD-Al<sub>2</sub>O<sub>3</sub> interface layer after electrochemical cycling are further analyzed by high-resolution transmission electron microscopy (HRTEM), as shown in Fig. 3. The energy-dispersive X-ray spectroscopy (EDX) mapping displays that Al and O signals uniformly appear on the surface, originating from the fully conform and uniform ALD-Al<sub>2</sub>O<sub>3</sub> layer on the WO<sub>3</sub> electrode (the corresponding ADF-STEM image of the EDX mapping images are shown in Fig. S8a). A pronounced boundary without a lattice fringe is observed for the WO<sub>3</sub> thin film with the ALD-Al<sub>2</sub>O<sub>3</sub> interface layer in Fig. 3b. This indicates that the Al<sub>2</sub>O<sub>3</sub> layer and WO<sub>3</sub> thin film have an amorphous nature, consistent with the above XRD results (Fig. S8b). The selected area electron diffraction (SAED) pattern (inset) shows lattice spacings of 0.24 nm, 0.135 nm, and 0.12 nm, which is assigned to the (−311), (132), and (−424) planes of Li<sub>2</sub>CO<sub>3</sub>, respectively. To further investigate the structure of the solid electrolyte interphase (SEI), the

**Table 1**

The O 1 s XPS results of the as-deposited and cycled WO<sub>3</sub> thin films without and with the ALD-Al<sub>2</sub>O<sub>3</sub> interface layer.

Contributions	Samples			
	WO <sub>3</sub> (as-deposited)	WO <sub>3</sub> /Al <sub>2</sub> O <sub>3</sub> (as-deposited)	WO <sub>3</sub> (after 50 cycles CV)	WO <sub>3</sub> /Al <sub>2</sub> O <sub>3</sub> (after 50 cycles CV)
O-Al-O	0	33.37 %	0	57.35 %
C—O	11.56 %	7.42 %	13.78 %	9.84 %
C = O	0	0	11.32 %	32.24 %
W = O	88.44 %	59.21 %	74.9 %	0.57 %
Total	100 %	100 %	100 %	100 %

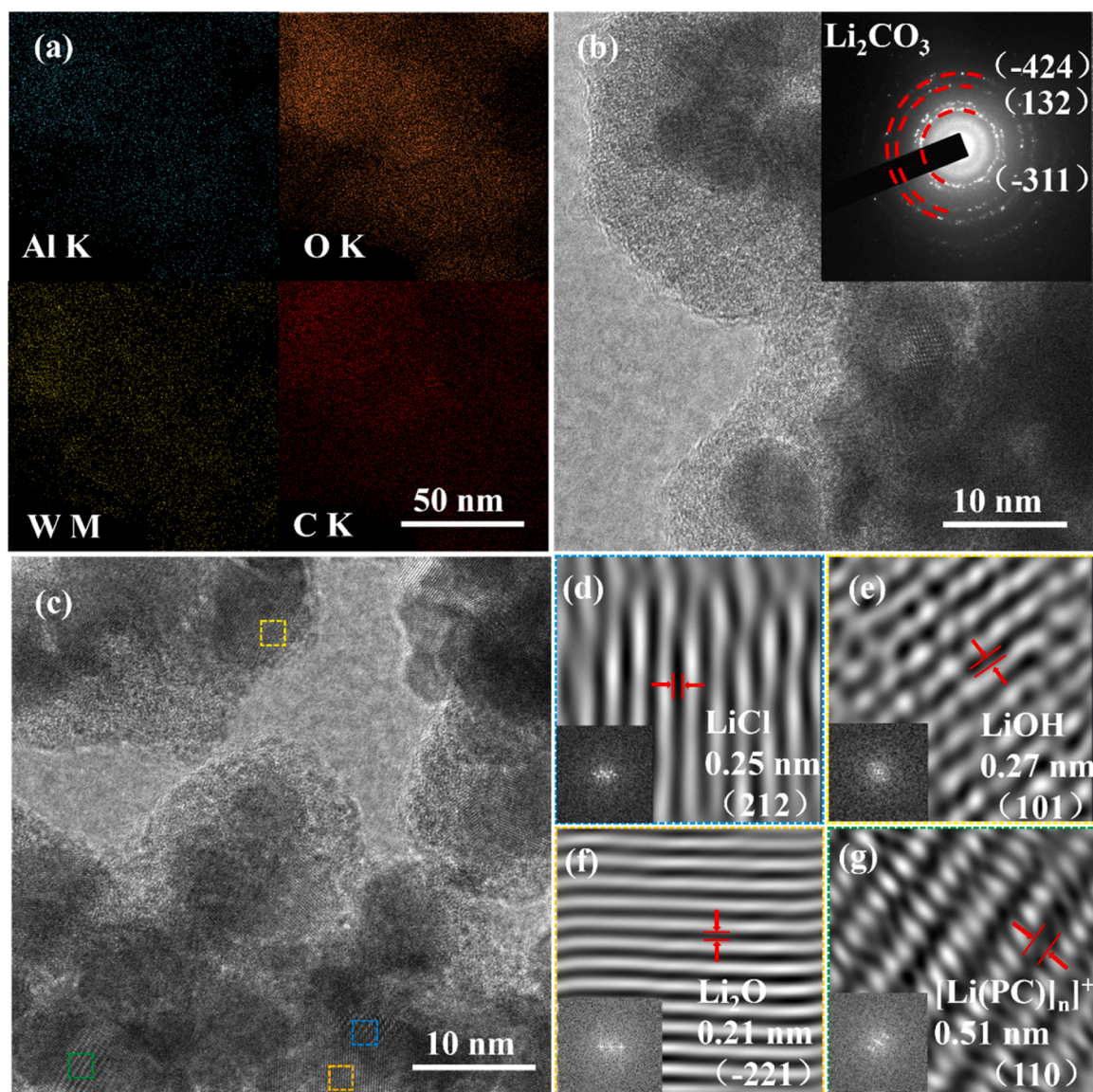
Digital Micrograph (DM)-based inverse Fast Fourier Transform (FFT) is employed on regions 1–4 of Fig. 3c, as depicted in Fig. 3d–g. There is a lattice fringe with a spacing of 0.25 nm and a pair of bright spots related to the (212) faces of LiCl in Fig. 3d. Furthermore, lattice fringes with spacings of 0.27 nm and 0.21 nm are observed in Fig. 3e and f, corresponding to the (101) face of LiOH and the (−221) face of Li<sub>2</sub>O, respectively [31–36]. These inorganic species are typically regarded as effective components of the SEI layer that can be formed on the electrode during cycling. Such species can be produced as by-products of the electrolyte solution (PC-LiClO<sub>4</sub>) and contribute to the overall stability and passivation of the SEI layer. A number of lattice fringes similar to those of PC are observed in thin film (Fig. 3g). These substances could be the products of side reactions involving PC molecules during cycling and the chelates formed by the complexation of PC molecules with Li ions [11]. It is well known that typical organic components of the SEI layer produced during electrochemical cycling of PC-based electrolyte solutions include (CH<sub>2</sub>OCO<sub>2</sub>Li)<sub>2</sub>, ROCO<sub>2</sub>Li, ROLi, and others, are abundantly present on the surface of the thin film. The TEM results indicate that the microstructures of the WO<sub>3</sub> thin film with the ALD-Al<sub>2</sub>O<sub>3</sub> interface layer conform to the compact-stratified layer (CSL) model after cycling. According to this classic SEI mode, the inner layer of SEI in contact with the electrode predominantly comprises inorganic materials (such as Al<sub>2</sub>O<sub>3</sub>), while the outer layer in contact with the electrolyte primarily consists of organic and other inorganic components [37,38]. These observations demonstrate that a stable and ultra-thin organic-inorganic hybrid SEI layer is formed on the WO<sub>3</sub> thin film with the ALD-Al<sub>2</sub>O<sub>3</sub> interface layer.

### 3.4. SEM of the thin films

The interfacial degradation at the WO<sub>3</sub>/electrolyte interface can be attributed to two fundamental reasons. (i) The sustained side reaction leads to the formation of a thick interface. (ii) The cracks produced during cycling can expose the fresh WO<sub>3</sub> surface, initialing the formation of a new interface. To gain an understanding of the interfacial degradation, TEM and SEM techniques are conducted. Fig. S9 shows the TEM images of the WO<sub>3</sub> thin film without the ALD-Al<sub>2</sub>O<sub>3</sub> layer after 10 electrochemical cycles, revealing the presence of a ~10 nm-thick interface on the WO<sub>3</sub> surface. SEM micrographs in Fig. 4 provide a closer look at the surface and cross sections for the WO<sub>3</sub> thin films without and with the ALD-Al<sub>2</sub>O<sub>3</sub> interface layer after 50 electrochemical cycles. It is observed that the thickness of the interface for the bare WO<sub>3</sub> increases to 80 nm after 50 cycles (Fig. 4a and 4b). It is reported that the PC-based electrolyte has a high reduction potential (~0.7 V), and is prone to react on the electrode surface [10]. The sacrificial decomposition of the PC electrolyte results in the formation of lithium alkylcarbonates and Li<sub>2</sub>CO<sub>3</sub>. The interface of the WO<sub>3</sub> thin film without the ALD-Al<sub>2</sub>O<sub>3</sub> is expected to consist of WO<sub>3</sub>, PC, lithium alkylcarbonates, and Li<sub>2</sub>CO<sub>3</sub>. Unfortunately, this *in situ* formed interface has poor electron-insulating capability, which fails to effectively isolate the electron tunneling from the highly negatively charged electrode to the electrolyte components. As a result, the PC electrolyte continuously decomposes during cycling, resulting in the formation of a thick interface.

Additionally, the *in situ* formed interface is not strong enough to endure the mechanical deformation of the WO<sub>3</sub> thin film during the ion intercalation, resulting the cracks on the surface (Fig. 4c) [10,18,20]. These cracks allow the infiltration of electrolyte into the film and break the interface, exposing the fresh WO<sub>3</sub> surface. This lead to the formation of a new interface, as shown in Fig. S10. As the number of cycles increases, the WO<sub>3</sub> thin film without the ALD-Al<sub>2</sub>O<sub>3</sub> layer gets consumed, eventually leading to the failure of the electrochromic performance.

On the other hand, the WO<sub>3</sub> thin film with the ALD-Al<sub>2</sub>O<sub>3</sub> interface layer shows no additional layer (Fig. 4d and 4e) on its surface after electrochemical cycling. The surface remains relatively intact, as shown in Fig. 4f. The presence of the ALD-Al<sub>2</sub>O<sub>3</sub> layer effectively constrains the space for side-reaction products formation, facilitating quicker interface



**Fig. 3.** (a) The energy-dispersive X-ray spectroscopy (EDX) mapping of the  $\text{WO}_3$  thin film with the ALD- $\text{Al}_2\text{O}_3$  interface layer. (b) The TEM image and selected area electron diffraction (SAED) pattern (inset) of the  $\text{WO}_3$  thin film with the ALD- $\text{Al}_2\text{O}_3$  interface layer. (c) The high-resolution TEM (HRTEM) image of the  $\text{WO}_3$  thin film with the ALD- $\text{Al}_2\text{O}_3$  interface layer, marked by dotted circle. (d–g) The inverse Fast Fourier Transform (FFT) filtered HRTEM images and FFT patterns (inset) of the characteristic region of the  $\text{WO}_3$  thin film with the ALD- $\text{Al}_2\text{O}_3$  interface layer.

densification [25]. The interface involving  $\text{Al}_2\text{O}_3$  hinders the sustained decomposition of the electrolyte. Furthermore, the completely conformal coating of ALD- $\text{Al}_2\text{O}_3$  reduces the occurrence of small cracks and pinholes, which are the main causes of extensive cracking [22]. This reduction in cracking allows the  $\text{WO}_3$  thin film to avoid the generation of a new surface during cycling. Overall, these results demonstrate that the ALD- $\text{Al}_2\text{O}_3$  interface layer acts as a protective barrier, preventing the sustained reaction of the electrolyte and reducing cracks on the surface of the  $\text{WO}_3$  thin film. This depresses the continuous thickening and degradation of the interface.

### 3.5. Electrochemical performance of the thin films

The cyclic voltammetry (CV) test is employed to evaluate the ions detercalation/intercalation processes of the  $\text{WO}_3$  thin films with and without the ALD- $\text{Al}_2\text{O}_3$  layer. As shown in Fig. 5a, the peak values of the CV curves are increased accordingly with the scan rate with the applied potential between  $-1.0$  and  $+1.0$  V. The result shows that the  $\text{WO}_3$  thin film with the ALD- $\text{Al}_2\text{O}_3$  interface layer exhibits normal redox behavior.

In general terms, the switching speed of electrochromic thin film mainly depends on the ion-diffusion coefficient and ion diffusion distance [39]. The Li-ion diffusion coefficient ( $D$ ) can be calculated using the following equation:

$$I_p = 2.72 \times 10^5 \times n^{3/2} \times A \times D^{1/2} \times C_0 \times v^{1/2}$$

where  $I_p$  is the peak current of the CV curve,  $n$ ,  $A$ ,  $C_0$ , and  $v$  refers to the number of electrons participating in the reactions, the contact area between the thin film and electrolyte, the Li ions concentration in the electrolyte, and the scan rate, respectively [40]. As illustrated in Fig. 5b, the diffusion coefficient ( $D$ ) is respective to be  $2.799 \times 10^{-10} \text{ cm}^2 \text{ s}^{-1}$  and  $1.132 \times 10^{-10} \text{ cm}^2 \text{ s}^{-1}$  for the  $\text{WO}_3$  thin films without and with  $\text{Al}_2\text{O}_3$  interface layer. Notably, the diffusion coefficient of the  $\text{WO}_3$  thin film with the ALD- $\text{Al}_2\text{O}_3$  interface layer is slightly lower than that of the  $\text{WO}_3$  thin film without the ALD- $\text{Al}_2\text{O}_3$  interface layer, consistent with the longer response times that the  $\text{WO}_3$  thin film with the ALD- $\text{Al}_2\text{O}_3$  interface layer exhibits at the beginning of the electrochemical cycling. These results demonstrate that the ALD- $\text{Al}_2\text{O}_3$  interface layer can act as a

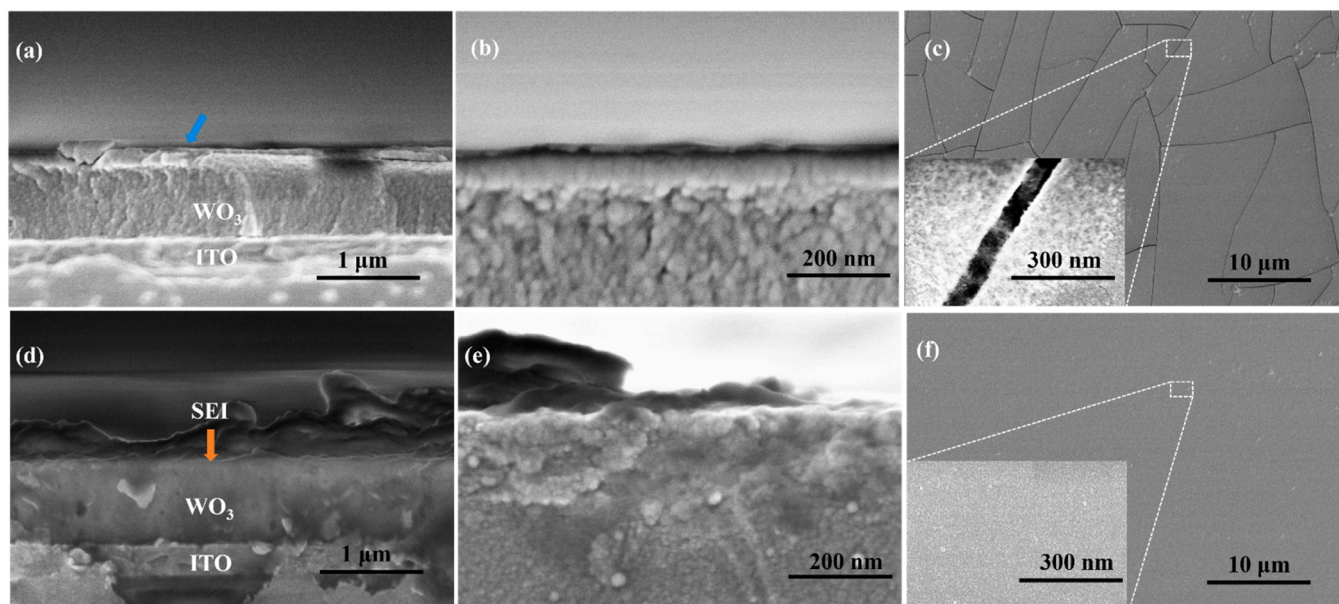


Fig. 4. The SEM micrographs of the top surface and cross sections for cycled  $\text{WO}_3$  thin films (a–c) without and (d–f) with the ALD- $\text{Al}_2\text{O}_3$  interface layer.

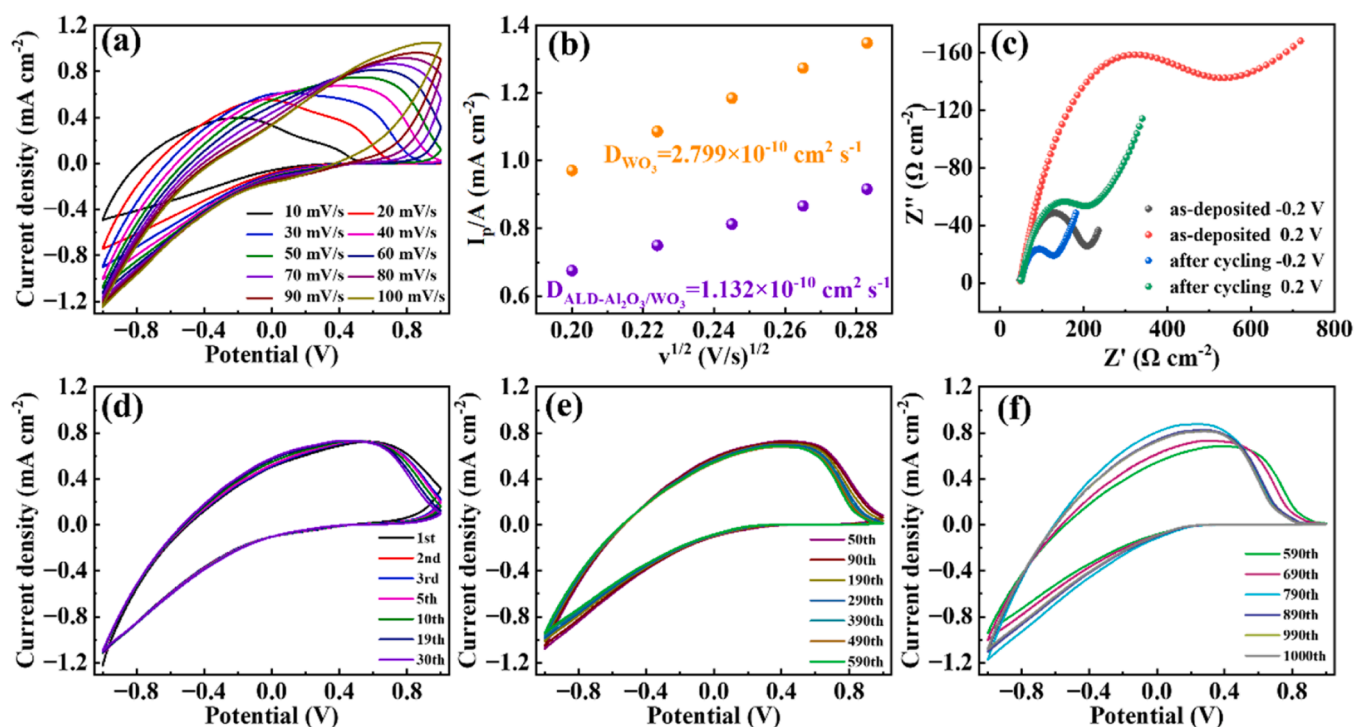
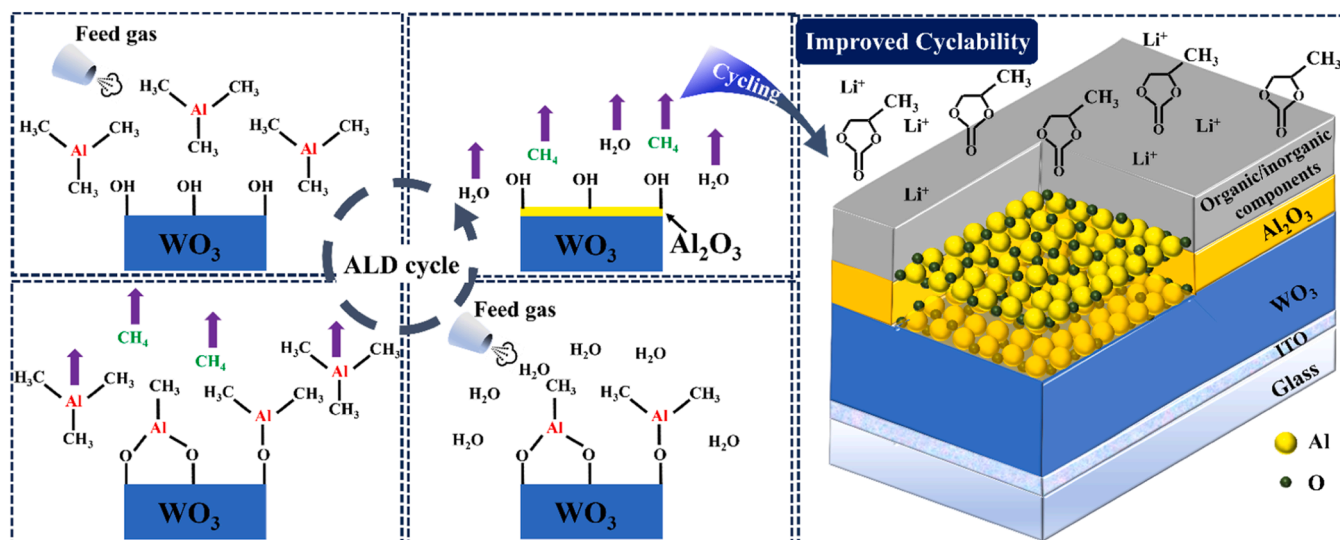


Fig. 5. (a) CV profiles at various scan rates for the  $\text{WO}_3$  thin films with the ALD- $\text{Al}_2\text{O}_3$  interface layer. (b) Dependence of the peak current densities ( $I_p$ ) versus the square root of scan rate ( $v^{1/2}$ ). (c) Nyquist plot for the  $\text{WO}_3$  thin films with the ALD- $\text{Al}_2\text{O}_3$  interface layer. (d–f) 1000 cycles of the  $\text{WO}_3$  thin films with the ALD- $\text{Al}_2\text{O}_3$  interface layer.

diffusion barrier for Li ions, consequently decelerating kinetics and causing a decrease in rate capacity. The Nyquist plots in the frequency region ranging from 100 mHz to 100 kHz for the  $\text{WO}_3$  thin film with the ALD- $\text{Al}_2\text{O}_3$  interface layer before/after 50 electrochemical cycles are provided in Fig. S11a and S11b. In the high-frequency region, the real axis (x-intercept) represents the resistance ( $R_s$ ), and the diameter of the semicircle represents the charge transfer resistance ( $R_{ct}$ ) in the electrolyte. It can be seen that the  $R_{ct}$  of the  $\text{WO}_3$  thin films with the ALD- $\text{Al}_2\text{O}_3$  layer is significantly reduced from  $159.6 \Omega \text{ cm}^{-2}$  to  $87.6 \Omega \text{ cm}^{-2}$  at  $-0.2 \text{ V}$ , and from  $853 \Omega \text{ cm}^{-2}$  to  $187 \Omega \text{ cm}^{-2}$  at  $0.2 \text{ V}$  after 50 electrochemical

cycles, as shown in Fig. 5c, indicating that formation of the stable and Li-ion permeable SEI on the  $\text{WO}_3$  thin film with the ALD- $\text{Al}_2\text{O}_3$  interface layer after cycling (Scheme 1).

To further investigate the impact of ALD interface layer on the reduction/oxidation reaction of  $\text{WO}_3$  thin film during cycling, 1000 CV tests are performed for the  $\text{WO}_3$  thin film with the ALD- $\text{Al}_2\text{O}_3$  interface layer at a scan rate of  $50 \text{ mV s}^{-1}$  within the range of  $-1.0$  to  $1.0 \text{ V}$ . Fig. 5d depicts the CV curves from the first to the 30th cycle, a higher current density value appears at  $1.0 \text{ V}$  in the first cycle. It can be seen that the oxidation peak of CV curve in the range of  $0.4$  to  $0.8 \text{ V}$  gradually



Scheme 1. The formation process of the SEI.

completed but with almost no change in its area and the reduction peak of CV curve remained unchanged with increasing cycle number, representing an increase in the rate of Li<sup>+</sup> de-intercalation, and SEI of the WO<sub>3</sub> thin film with the ALD-Al<sub>2</sub>O<sub>3</sub> interface layer has formed preliminarily. As shown in Fig. 5e, the oxidation peak of the WO<sub>3</sub> thin film with the ALD-Al<sub>2</sub>O<sub>3</sub> interface layer gradually shifted negatively, accompanying with the decrease in the peak current density as the number of CVs increases. Furthermore, with the increase of CV cycle number, the potential differences ( $\Delta V$ ) between the oxidation and reduction peaks became smaller. Generally, the electrode with the ALD-Al<sub>2</sub>O<sub>3</sub> interface layer displays larger  $\Delta V$  than the bare one, indicating a larger electrochemical polarization [41,42]. The Al<sub>2</sub>O<sub>3</sub>/Li-based electrolyte hybrid SEI layer remains stable and effective at this stage. Between the 590th and 1000th cycle, a more noticeable change is observed in Fig. 5f. The WO<sub>3</sub> thin film with the ALD-Al<sub>2</sub>O<sub>3</sub> interface layer took 790 cycles to reach the maximum peak current density accompanying with a small decrease, and the oxidation peak continuously shifting negatively. These changes may be attributed to the gradual destruction of the SEI layer, resulting in direct contact between the WO<sub>3</sub> thin film and the electrolyte, the amount of Li<sup>+</sup> intercalation and de-intercalation on thin film increases. At the 1000th cycle, the oxidation peak position has moved to 0 to 0.4 V, the same as the WO<sub>3</sub> thin film without the ALD-Al<sub>2</sub>O<sub>3</sub> interface layer (Fig. S11c).

#### 4. Conclusion

In summary, we have demonstrated that the established *in situ* SEI protection is a feasible strategy to improve the cycling stability of WO<sub>3</sub> thin film. By depositing a 1-nm-thick Al<sub>2</sub>O<sub>3</sub> interface layer on the WO<sub>3</sub> thin film using ALD prior to the initial cycling process, the formation of side-reaction products formation is constrained by the surrounding Al<sub>2</sub>O<sub>3</sub> layer. This allows for quicker interface densification and *in situ* formation of organic/inorganic hybrid solid electrolyte interphase on the WO<sub>3</sub> thin film during electrochemical cycling. Thus, the WO<sub>3</sub> thin film with the ALD-Al<sub>2</sub>O<sub>3</sub> interface layer maintains 94 % transmittance modulation retention after 1500 cycles. These findings provide unambiguous evidence for the effectiveness of the ALD-Al<sub>2</sub>O<sub>3</sub> interface layer in protecting WO<sub>3</sub> and offer methodologies for further investigations into impact of this approach on other EC layer materials.

#### CRediT authorship contribution statement

Shichen Weng: Writing – original draft, Visualization, Validation,

Software, Methodology, Investigation, Data curation. Chengli Zhang: Project administration, Funding acquisition. Qiang Wang: Visualization, Investigation, Data curation. Guanglong Xu: Visualization, Investigation, Data curation. Jumei Zhou: Methodology, Investigation, Funding acquisition. Kunrun Song: Data curation, Conceptualization. A.A. Rogachev: Validation. M.A. Yarmolenko: Validation. Hongtao Cao: Supervision, Resources, Project administration, Funding acquisition. Hongliang Zhang: Writing – review & editing, Supervision, Resources, Project administration, Methodology, Funding acquisition.

#### Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

#### Data availability

No data was used for the research described in the article.

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#### Supplementary materials

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